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In re application of:

Konrad HONOLD et al

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For: OPTIMIZATION OF CELLS FOR ENDOGENOUS GENE ACTIVATION

PRELIMINARY AMENDMENT

Assistant Commissioner
for Patents
Washington, D.C. 20231

December 1, 1998

Sir:

Prior to calculation of the filing fee and prior to the examination of this application,
please amend the above-identified application as follows:

IN THE CLAIMS:

Please amend the claims as follows:

Claim 3 and 4, line 1 of each, delete "one of the previous claims" and insert therefor
--claim 1--.

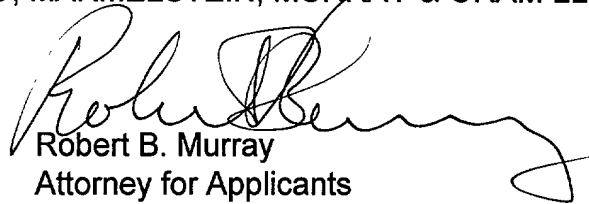
Claim 11, line 2, delete "one of the claims 8 to 10" and insert therefor --claim 8--.

REMARKS

The above amendment to the claims has been made to correct the multiple
dependency of the claims and to put the application in better condition for examination.

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Optimization of cells for endogenous gene activation

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Description

The invention concerns a process for optimizing gene expression in cells. A first aspect concerns a process for changing the expression of a target gene that is present endogenously in a eukaryotic cell by introducing a heterologous expression control sequence or/and an amplification gene into the genome of the cell by means of homologous recombination and also concerns the excision of the inserted foreign DNA mediated by a site-specific recombinase and its replacement by other heterologous expression control sequences or/and amplification genes. The invention additionally concerns the introduction of one or several nucleic acid sequences to which an activator protein or an activator protein complex e.g. a hypoxia-inducible factor (HIF) binds, into the genome of a eukaryotic cell by homologous recombination in order to change the expression of a target gene. Furthermore the invention concerns a method for testing the influence of non-coding nucleic acid fragments on the 5' side or 3' side on the expression of a target gene by determining the expression of a reporter gene. In addition the invention concerns a process for preparing a DHFR-negative eukaryotic cell containing a recombinase target sequence as well as the expression of a nucleic acid sequence inserted into the recombinase target sequence.

Gene expression in a cell can take place constitutively for example in so-called housekeeping genes or be regulated. Regulated expression is particularly necessary for genes which only have to be expressed in a

particular development stage of the cell or when there is a change in the environmental conditions.

Expression is regulated at the transcription level by the promoter that is operatively linked with the coding nucleic acid sequence the activity of which can be controlled by repressors and activators. Binding of repressors or activators to non-coding nucleic acid sequences of the gene can reduce or increase the activity of the promoter (L. Stryer, Biochemie, Chapter 12, "Spektrum der Wissenschaft, Verlagsgesellschaft", Heidelberg, 1990). The amount of repressors or activators that are contained in a cell is in turn regulated by factors such as for example environmental conditions. Hypoxia-inducible factors (HIF) are an example of activators which are induced by reduced O₂ supply and lead to an increased expression of the erythropoietin gene (Blanchard K.L. et al., Hypoxic induction of the human erythropoietin gene: Cooperation between the promoter and enhancer, each of which contains steroid receptor response elements, (1992), Mol. Cell. Biol. 12, 5373-5385; Wang G.L. and Semenza G.L., Characterization of hypoxia-inducible factor 1 and regulation of DNA binding activity by hypoxia, (1993), J. Biol. Chem., 268, 21513-21518; Wang G.L. et al., Hypoxia-inducible factor 1 is a basic-helix-loop-helix-PA heterodimer regulated by cellular O₂ tension, (1995), Proc. Natl. Acad. Sci. USA, 92, 5510-5514).

Furthermore the amount of an expressed protein depends on the stability of the mRNA. Recognition sequences for mRNA degrading enzymes are located in the 3' region of an mRNA which influence the stability of the mRNA and thus the expression level (Shaw G. and Kamen, R., A Conserved AU Sequence from the 3'Untranslated Region of

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GM-CSF mRNA Mediates Selective mRNA Degradation, Cell (1986), 659-667). In this connection the half-life of the mRNA correlates with the amount of expressed protein. A third level of expression regulation is translation.

Hence the expression of a gene is subject to complex regulation mechanisms which can differ greatly in individual cases.

Proteins can be obtained with the aid of recombinant DNA technology which utilizes knowledge on expression regulation (Sambrook et al., 1989, Molecular Cloning, A Laboratory Manual, Cold Spring Harbor). Vectors are used for this which contain a nucleic acid sequence coding for the corresponding protein under the control of a suitable promoter as well as additional sequences that are necessary to express the protein and replicate the vector. The vector is then introduced into a host cell by means of known methods, the cell is cultured and the recombinant protein can be isolated from the cell or the culture medium.

Prokaryotic or eukaryotic cells can be used as the host cell. Prokaryotic cells, in particular E. coli cells, are unproblematic to handle but have a number of disadvantages when eukaryotic proteins are expressed recombinantly.

Prokaryotes and eukaryotes differ in the expression processing path, in the cell medium conditions, as well as in the chaperones involved in protein processing. Hence a eukaryotic protein produced in a prokaryote may differ decisively from the corresponding native protein.

For example the protein folding pattern and the activity of the protein may be modified. Also proteins in a prokaryotic host cell are usually not glycosylated. However, a correct glycosylation pattern is a crucial characteristic in many cases for the effectiveness and tolerance for example in the production of proteins for a pharmaceutical formulation.

Glycosylated proteins are therefore produced by means of eukaryotic host cells or cell lines, for example CHO (Chinese Hamster Ovary) cells. Despite the use of eukaryotic cells, changes in the recombinantly produced protein can occur due to species differences for example when expressing a human protein in non-human cells which is why this method is unsuitable for many applications.

For the recombinant production of proteins, host cells are transiently or stably transfected with expression vectors, stably transfected cells being used in particular for large scale production processes.

Unspecific, random integration of the expression vector sequences into the genome of the host cell can lead to cells with a low production capacity or to unstable properties of the cells. For example the production output can decrease during the course of a production process or the ability of the cells to express the recombinant protein can be completely lost.

A method for increasing gene expression is gene amplification in which a nucleic acid sequence coding for a protein is coupled to an amplification gene. A multiplication of both sequences is achieved by a selection step which leads to an increased expression

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(Schimke, R.T. (Ed.) (1982), Gene amplification, Cold Spring Harbor Lab., Cold Spring Harbor, NY).

A nucleic acid coding for a dihydrofolate reductase (DHFR) can for example be used as an amplification gene (Kaufmann R.J., Sharp P.A. (1982), Amplification and expression of sequences cotransfected with a modular dihydrofolate reductase complementary DNA gene, J. Mol. Biol. 159:601 ff).

A selection step carried out with methotrexate enables cells to be obtained which are resistant to methotrexate and contain in their genome the nucleic acid sequence coding for a DHFR and the nucleic acid sequence coupled thereto in a 20- to 50-fold amplification (R. Knippers, 1982, "Molekulare Genetik", Thieme, Stuttgart).

Such a gene amplification method is most effectively carried out with a DHFR-negative cell. JP-62265992 describes for example a human DHFR-negative cell. However, it does not mention a site-specific integration of an expression vector by means of homologous recombination and amplification of these sequences in this cell.

Even when carrying out a gene amplification process the disadvantages described above such as instability of the cells can occur due to random integration of the expression vector into the genome of the cell.

It is only possible to avoid the described disadvantages when foreign DNA is site-specifically integrated at a selected gene locus by homologous recombination which leads to an endogenous gene activation. Corresponding

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methods are known and are called gene targeting (WO 90/11354; WO 91/09955). In this process the cell is transfected with a vector which contains a positive selection marker gene flanked by nucleic acid sequences which are homologous to a gene locus at which it is intended to integrate the vector into the genome of the cell. Between the homologous nucleic acid sequences there is additionally a heterologous expression control sequence in order to increase the expression of the target gene in the cell and optionally an amplification gene to increase the copy number of the target gene.

A disadvantage of previously known gene targeting methods is that it is often extremely laborious to produce cells with properties that enable the production of a desired protein in an adequate amount and quality for commercial purposes. In particular the selection of optimal expression control sequences or/and amplification genes for the expression of a desired target protein often requires a very large series of homologous recombination experiments which are extremely time-consuming due to the complicated procedure for isolating clones in which the desired recombination event has taken place.

Homologous recombination can also be used to switch off the expression of certain genes in a cell in order to carry out protein function studies. For this knockout mice are produced in which the gene coding for a protein to be examined is switched off by homologous recombination in embryonic stem cells. After carrying out additional process steps, mice are obtained that cannot express a functional protein from the start of their development due to the inactivation of both alleles of this gene (Thomas, K.R., Capecchi M.R.,

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(1987), Site-directed mutagenesis by gene targeting in mouse embryo-derived stem cells, Cell 51: 503-512).

The Cre-Lox system can be used to tissue-specifically and time-specifically switch off a certain gene and to examine it. For this purpose a nucleic acid fragment flanked by two loxP sequences is introduced into the genome of a cell by homologous recombination and can subsequently be cleaved again from the genome by a Cre recombinase that is expressed in the cell (Sauer B, Henderson N (1989): Site-specific DNA recombination at loxP sites placed into the genome of mammalian cells. Nuc Acid Res 17:147-161; Sauer B., Henderson N. (1990), Targeted insertion of exogenous DNA into the eukaryotic genome by the Cre recombinase, New Biol. 5:441-449). The prior art makes no mention of using the Cre-lox system or another site-specific recombinase system for the site-specific integration of expression control sequences or amplification genes into the genome of eukaryotic cells in order to change endogenous gene expression.

The object of the present invention was to provide a new process for optimizing endogenous gene activation by homologous recombination which at least partially eliminates the disadvantages of the prior art.

This object is achieved by providing a new process and vector constructs which considerably simplify an optimization of the expression output of genes in eukaryotic cells. A first aspect of the invention concerns a process for changing the expression of a nucleic acid sequence which is present endogenously in a eukaryotic cell which is characterized in that

- (a) the cell is transfected with a first vector comprising
 - (i) at least one sequence selected from a first heterologous expression control sequence and a first amplification gene,
 - (ii) a positive selection marker gene,
 - (iii) at least two target sequences for a site-specific recombinase flanking the sequences (i) and (ii),
 - (iv) DNA sequences which flank the sequences (i), (ii) and (iii) and are homologous to a nucleic acid section in the genome of the cell in order to allow a homologous recombination
- (b) the transfected cell is cultured under conditions under which a homologous recombination of the vector takes place and
- (c) the cell obtained according to step (b) is isolated.

A cell is provided by the process according to the invention which has an endogenous gene in operative linkage with a heterologous expression control sequence or/and an amplification gene and these sequences are flanked by target sequences for a site-specific recombinase e.g. the Cre recombinase. This cell is very well-suited for investigations on the optimization of the expression of the target gene since the presence of the target sequences for the site-specific recombinase enables a simple replacement of the first heterologous expression control sequence or/and the first amplification gene by a second heterologous expression control sequence or/and a second amplification gene.

The term "site-specific recombinase" according to the present invention encompasses proteins and protein complexes which mediate DNA rearrangements on a specific DNA target sequence including site-specific recombinases of the integrase or resolvase invertase classes (Stark

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et al., Trends Genet. 8 (1992), 432-439; Abremski and Hoess, Protein Engineering 5 (1992), 87-91; Khan et al., Nucleic Acids Res. 19 (1991), 851-860) and site-specific recombination mediated by intron-coded endonucleases (Perrin et al., EMBO J. 12 (1993), 2939-2947). Preferred recombinase proteins are selected from the group comprising the FLP recombinase of the 2 μ episome of *Saccharomyces cerevisiae* (e.g. Falco et al., Cell 29 (1982), 573-584; Cox, Proc. Natl. Acad. Sci. USA 80 (1983) 4223-4227; Konsolaki et al., New Biologist 4 (1992), 551-557), the Cre recombinase of the *E. coli* phage P1 (e.g. Sauer and Henderson (1989) supra), the R-recombinase from the *Zygosaccharomyces rouxii* plasmid pSR1 (Matsuzaki et al., J. Bacteriol. 172 (1990), 610-618), the A recombinase from the *Kluyveromyces drosophilarius* plasmid pKD1 (Chen et al., Nucleic Acids Res. 14 (1986), 4471-4481), the A recombinase from the *Kluyveromyces waltii* plasmid pKW1 (Chen et al., J. Gen. Microbiol. 138 (1992), 337-345), a component of the λ -int recombination system (Landy, Annu Rev. Biochem. 5 (1989), 913-949) and a component of the gin recombination system of the phage μ (Klippel et al., EMBO J. 12 (1993), 1047-1057). In addition the fusion proteins described in the European Patent EP-B-0 707 599 composed of a site-specific recombinase and a nuclear receptor or the ligand-binding domain thereof are also suitable. Target sequences of the Cre recombinase i.e. loxP sequences are particularly preferably used for the process according to the invention.

In contrast to the recombinant production of proteins by site-unspecific integration of heterologous genes and their associated disadvantages, the process according to the invention utilizes the advantages of site-specific endogenous gene activation by homologous recombination.

A simplified selection of suitable combinations of heterologous expression control sequences and amplification genes enables optimized production clones with stable properties to be obtained with high probability which enable the production of a protein which substantially corresponds to the native protein with regard to its structure and activity.

The selection of suitable homologous sequences which flank the heterologous expression control sequence, the amplification gene, the positive selection marker gene and the recombinase target sequences is preferably carried out according to the methods described in WO90/11354 and WO91/09955.

In addition the homologous sequences may also contain modifications which lead to mutations in the expressed protein such as for example point mutations, insertions or/and deletions of individual amino acids or whole amino acid sections.

Thus the process according to the invention not only enables the expression level of an endogenous nucleic acid sequence to be changed in a single process step but also simultaneously enables the introduction of a mutation into the coding region of the endogenous nucleic acid sequence. Hence the process according to the invention is particularly advantageous for the production of proteins for pharmaceutical applications. Such proteins should have no further modifications compared to native proteins apart from mutations to increase the efficacy of the protein.

According to the invention it is possible to use any

eukaryotic cell, preferably a mammalian cell, particularly preferably a human cell. The process according to the invention can be carried out with non-immortalized cells e.g. fibroblasts and also with immortalized cells e.g. tumour cell lines. Immortalized cells are preferred.

The solutions and media used to carry out the process according to the invention are preferably selected such that optimal conditions are present in the respective process step. The cells are cultured using media which contain all substances necessary for an adequate cell growth and are optionally buffered. It is preferable to use cells which can be cultured in serum-free medium. The cell used is particularly preferably a Namalwa, HT1080 or HeLa S3 cell.

The process according to the invention enables optimization of the expression of a nucleic acid sequence present endogenously in the cell i.e. of a target gene by selection of an optimal expression control sequence, an optimal amplification gene or/and by selection of an optimal combination of expression control sequence and amplification gene.

Any nucleic acid sequence can be used as the heterologous expression control sequence which influences the expression of the target gene after its integration into the genome of the cell. This includes nucleic acid sequences which can directly interact with transcription components such as transcription initiation factors or RNA polymerases and nucleic acid sequences whose influence on transcription is mediated by interactions with activators or repressors. The

heterologous expression control sequence preferably contains a promoter/enhancer, particularly preferably viral promoters and most preferably a CMV promoter.

The heterologous expression control sequence can also include a 3' non-coding sequence. 3' non-coding sequences can have a stabilizing or destabilizing effect on an mRNA and thus increase or decrease its half-life. The introduction of a sequence that stabilizes an mRNA can increase the half-life of an mRNA and thus the yield of its encoded protein.

In a preferred embodiment an endogenous expression control sequence of the target gene is removed by the homologous recombination. This is particularly advantageous when the endogenous sequence contains a repressor-binding sequence. The expression can also be reduced by a 3' non-coding sequence which has a destabilizing effect on the mRNA which results in a decrease in the amount of translated protein.

In addition the process according to the invention allows the selection of an optimal amplification gene. The amplification gene is preferably used in an expressible form i.e. in operative linkage with a suitable promoter and is arranged in the vector such that after homologous integration of the vector into the genome of the eukaryotic cell it is located near to the target gene. Carrying out an amplification step leads to an increase in the number of copies of the target gene in the cell. This can result in a further increase in the expression of the endogenous nucleic acid sequence. Examples of suitable amplification genes are dihydrofolate reductase (DHFR), adenosine deaminase,

ornithine decarboxylase or muteins of these genes. The amplification gene is preferably a DHFR gene or a mutated form thereof (Simonsen et al., Nucleic Acids Res. 1988, 16 (5): 2235-2246), especially in cells which contain an endogenous DHFR gene.

Any suitable resistance gene for a eukaryotic cell which leads to a selectable phenotype such as e.g. an antibiotic resistance can be used as a positive selection marker. The positive selection marker gene is preferably a neomycin, kanamycin, geneticin or hygromycin resistance gene. The positive selection marker gene is preferably used in an expressible form i.e. in operative linkage with a suitable promoter.

If a negative selection marker gene is used then a second negative selection step is usually carried out in addition to the positive selection step. The advantage of this is that, after carrying out the selection steps, the identified clones contain a lower proportion of false-positive clones i.e. vectors that are randomly integrated into the genome. The negative selection marker gene is preferably a thymidine kinase gene (TK) or/and a hypoxanthine-guanine-phosphoribosyl transferase gene (HGPRT).

As a result of the presence of the target sequences of the site-specific recombinase it is possible to cut out nucleic acid sequences located between these sequences from the genome of the cell using the site-specific recombinase. The nucleic acid sequence located between the target sequences is preferably cleaved from the genome by transient activation of the corresponding recombinase in the cell. This transient activation of

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the recombinase can for example be carried out by

- (a) transfecting the cell with a second vector containing a nucleic acid sequence coding for the recombinase operative linked with an expression control sequence that is active or can be activated in this cell and
- (b) culturing the cell transfected in this manner under conditions under which the recombinase is expressed and is active and
- (c) optionally isolating the cell.

If recombinase/nuclear receptor fusion proteins are used, the transient activation of the cell can also be carried out by the controlled addition of the ligand for the nuclear receptor.

After removing the DNA located between the target sequences, the remaining target sequence e.g. the loxP sequence can be used for additional process steps.

In a further preferred embodiment the process is characterized in that

- (a) the cell is transfected with a third vector comprising
 - (i) at least one sequence selected from a second heterologous expression control sequence and a second amplification gene
 - (ii) a positive selection marker gene which preferably differs from the positive selection marker gene of the first vector and
 - (iii) at least two recombinase target sequences flanking the sequences (i) and (ii)
- (b) the transfected cell is cultured under conditions under which the sequence flanked by the target sequences is integrated into the target sequence in the genome of

the cell

- (c) the cell obtained according to step (b) is isolated and
- (d) optionally steps (a) to (c) are repeated at least once with expression control sequences or/and amplification genes which vary in each case.

Hence the process according to the invention enables many expression control sequences, amplification genes or combinations of expression control sequences and amplification genes to be tested simply and rapidly. Hence it is not necessary to carry out a time-consuming and expensive site-specific integration for each individual heterologous expression control sequence and each individual amplification gene to determine an optimal expression/amplification system for each individual target gene.

The positive selection marker gene in a third vector preferably differs from that of a first vector in order to simplify the selection process and to minimize the number of false positive clones.

The recombinase target sequences in the vector used according to the invention can correspond to naturally occurring target sequences or optionally have mutations which do not impair the effectiveness of the site-specific recombinase.

A further subject matter of the invention is a vector for homologous recombination in particular for the site-specific introduction of recombinase target sequences into the genome of a cell comprising

- (i) at least one sequence selected from an expression control sequence and an amplification gene,

- (ii) a positive selection marker gene,
- (iii) at least two target sequences for a site-specific recombinase which flank the sequences (i) and (ii),
- (iv) DNA sequences flanking the sequences (i), (ii) and (iii) which are homologous to a nucleic acid section in the genome of a cell in order to allow a homologous recombination and
- (v) optionally a negative selection marker gene.

In addition all vectors according to the invention preferably contain the necessary sequence elements for propagation and multiplication in suitable host cells such as origin of replication, selection marker genes etc..

Yet a further subject matter of the invention is a vector, in particular for introducing DNA into the genome of a cell by means of a site-specific recombinase system comprising

- (i) at least one sequence selected from an expression control sequence and an amplification gene,
- (ii) a positive selection marker gene and
- (iii) at least two recombinase target sequences flanking the sequences (i) and (ii).

Yet a further subject matter of the present invention is a eukaryotic cell, preferably a human cell, which is obtainable by a process as described above. This cell, e.g. a human cell, is preferably characterized in that it

- (a) contains at least one chromosomally located sequence selected from a heterologous expression control sequence and an amplification gene in operative linkage with a nucleic acid sequence that is present

- endogenously and
- (b) this sequence is flanked by recombinase target sequences.

A further aspect of the present invention concerns a process for changing the expression of a nucleic acid sequence that is present endogenously in a eukaryotic cell which is characterized in that

- (a) the cell is transfected with a vector comprising
- (i) at least one nucleic acid sequence that binds an activator protein e.g. a hypoxia-inducible factor (HIF),
 - (ii) a positive selection marker gene,
 - (iii) DNA sequences flanking the sequences (i) and (ii) which are homologous to a nucleic acid section in the genome of the cell in order to allow a homologous recombination,
- (b) the transfected cell is cultured under conditions under which a homologous recombination of the vector takes place and
- (c) the cell obtained according to step (b) is isolated.

Surprisingly the genomic integration of a nucleic acid sequence which binds one or several activator proteins (proteins which increase gene expression by binding to the nucleic acid sequence) in the region of the expression control sequence of a target gene in particular in its regulatory regions, does not reduce the expression of the target gene but in contrast it is possible by selection of suitable culture conditions to increase the expression of the endogenous target gene or to induce the expression of a non-expressed endogenous target gene.

Examples of suitable activator proteins are the hypoxia-inducible factors HIF-1 α and HIF-1 β as well as the interferon regulated factor 1 (IRF-1) which can increase transcription by binding to the interferon consensus sequence (ICE) (Tanaka N., Kawakami T., Taniguchi T., Mol. Cell. Biol. (1993), Aug; 13(8): 4531-4538).

After operatively linking one or several nucleic acid sequences, that bind a HIF or other activator proteins, to a target gene that is present endogenously, the expression of the target gene can be regulated by selecting suitable culture conditions. An advantage of this, especially for a commercial scale production, is that the expression of a protein can be induced at an optimal time for the production process. This is beneficial because the average residence time of the synthesis product in the culture medium supernatant is reduced. This also reduces the amount of undesired degradation products of the protein. This has a positive effect on the subsequent purification steps, reduces the production costs and leads to a qualitatively improved final product.

In order to carry out the process according to the invention it is sufficient to operatively link one or several activator-binding nucleic acid sequences with the target gene. Preferably two HIF-binding nucleic acid sequences are used. The HIF-binding nucleic acid sequence is particularly preferably selected from the 53 bp sequence according to sequence ID NO.1, the 43 bp sequence according to sequence ID NO.2, a sequence homologous to these sequences or a sequence hybridizing with these sequences under stringent conditions.

The use of two HIF-binding nucleic acid sequences surprisingly leads to a synergistic effect. This leads to a greater increase in the expression of endogenous nucleic acids than when using each of these sequences alone.

If necessary the expression of the activator protein which binds to the activator sequences introduced in the region of the target gene can be induced or/and increased in the cell. This can for example be achieved by transfecting the cell with a vector comprising

- (i) a nucleic acid sequence coding for an activator protein which is operatively linked with an active expression control sequence in this cell and
- (ii) optionally a positive selection marker gene.

Any nucleic acid sequence coding for an activator protein can be used whose expression product can bind to the activator-binding nucleic acid sequence integrated into the genome. The activator protein is preferably a HIF-1 α or/and HIF-1 β protein. If the nucleic acid sequence that is present endogenously already contains activator-binding or preferably HIF-binding nucleic acid sequences it may be sufficient to merely introduce a vector into the cell containing a nucleic acid sequence coding for an activator protein or preferably for a HIF protein which is operatively linked with an active expression control sequence in the cell and optionally a positive selection marker gene.

The expression control sequence which is linked operatively with the nucleic acid sequence coding for the activator protein can be inducible which provides an additional method for activation by suitable culture

conditions such as for example by addition of hormones or heavy metals. This enables the expression of an endogenous target gene to be induced at an optimal time for the production process.

An advantage of using a constitutively active expression control sequence is that the activator protein is expressed constitutively independent of the addition of activators into the culture medium.

If the activator protein-binding nucleic acid sequence is a HIF-binding nucleic acid sequence, the expression of the target gene can for example be induced by suitable culture conditions e.g. at an O₂ concentration of 0.1 - 2 %.

A further subject matter of the present invention is a vector for homologous recombination comprising

- (i) at least one nucleic acid sequence which binds an activator protein,
- (ii) a positive selection marker gene,
- (iii) DNA sequences flanking the sequences (i) and (ii) which are homologous to a nucleic acid section in the genome of the cell in order to allow a homologous recombination.

Yet a further subject matter of the present invention is a eukaryotic cell, preferably a human cell, which is obtainable by one of the processes described above. This cell is preferably characterized in that it contains at least one heterologous, chromosomally located, activator protein/complex-binding nucleic acid fragment operatively linked with a gene that is present endogenously in the cell. Activator protein-binding

nucleic acid fragments can be substituted in the genome with the aid of a site-specific recombination system as elucidated above which enables a simple identification of an optimal activator sequence for a certain target gene.

A further aspect of the present invention concerns a process for testing the influence on its expression of non-coding nucleic acid sequences from the region of a target gene present endogenously in a eukaryotic cell which is characterized in that

- (a) the cell is transfected with a vector comprising
 - (i) a heterologous expression control sequence that is active or can be activated in the cell which is operatively linked with a reporter gene and
 - (ii) non-coding nucleic acid fragments on the 5' side or/and 3' side from the region of the target gene,
- (b) the cell is cultured under conditions under which the expression control sequence is active and
- (c) the expression of the reporter gene is measured.

It can be simply determined with the process according to the invention how a heterologous expression control sequence has to be placed in the region of the target gene in the genome in order to achieve an optimal expression rate of the target gene and what influence the presence or the absence of 5' or/and 3' non-coding sequences from the region of the target gene has on the expression. The test vectors are preferably transiently transfected into cells and the expression of the reporter gene is determined. In this manner it is possible to rapidly and cheaply test many arrangements of a heterologous expression control sequence and a

The non-coding nucleic acid fragment 5' or 3' from the target gene region is preferably arranged in the vector according to it's genomic arrangement on the 5' side or 3' side of the reporter gene.

In a preferred embodiment at least two vectors which

contain different 5' or/and 3' non-coding nucleic acid fragments of the target gene are each transfected into different cells and the expression of the reporter gene in the different cells is determined with methods known to a person skilled in the art. It can be easily established with the process according to the invention which arrangement of the heterologous expression control sequence results in an optimal expression for a certain host cell.

A further aspect of the invention concerns a process for providing a DHFR-negative eukaryotic cell preferably a mammalian cell and particularly preferably a human cell which is characterized in that

- (a) the cell is transfected with a first vector comprising
 - (i) at least one target sequence for a site-specific recombinase,
 - (ii) DNA sequences flanking sequence (i) which are homologous to a DHFR nucleic acid sequence that is present endogenously in the cell in order to allow a homologous recombination and
 - (iii) optionally a positive selection marker gene and optionally a negative selection marker gene,
- (b) the transfected cell is cultured under conditions under which a homologous recombination of the vector takes place and
- (c) the cell obtained according to step (b) is isolated.

In the process according to the invention the recombinase target sequences and the homologous sequences are selected and used as explained above.

The positive selection marker gene - if present - is arranged between the sequences that are homologous to a

DHFR gene. The negative selection marker gene - if present - is arranged outside the homologous sequences.

After homologous recombination has taken place in the DHFR locus, no functional DHFR protein can be synthesized by the cell. In this case the sequences of the vector can be arranged such that the promoter of the DHFR gene is inactivated or/and such that a functional DHFR protein can no longer be synthesized due to an insertion or deletion in the coding sequence of the DHFR gene.

In order to inactivate both alleles of a DHFR gene, the cells are firstly transfected with a vector according to the invention, then selected and isolated. One allele of the DHFR gene is inactivated in these cells i.e. they are heterozygous (+/-) for the DHFR gene. Then these cells can be again transfected with a vector according to the invention which preferably contains a positive selection marker gene that is different from the first vector. After a selection step cells are obtained in which both DHFR alleles are inactivated. Alternatively an increase of the selection pressure can lead to a gene conversion and thus to an inactivation of both alleles (cf. e.g. Mortensen et al., Mol. Cell. Biol. 12 (1992), 2391-2395).

The process according to the invention provides a DHFR negative cell whose use in a gene amplification process has the advantage that it does not synthesise an endogenous DHFR protein. When a selection step is carried out to amplify a heterologous nucleic acid sequence which is coupled to a nucleic acid sequence coding for a DHFR protein, the expression product of the

endogenous DHFR gene does not have an interfering influence and thus there is an increase in the efficiency of the gene amplification.

Any suitable selection marker gene that leads to a selectable phenotype can be used as a positive selection marker gene e.g. antibiotic resistance. The nucleic acid sequence coding for the positive selection marker gene is preferably a neomycin, kanamycin, geneticin or hygromycin resistance gene.

Any negative selection marker gene known to a person skilled in the art can be used, the nucleic acid sequence coding for the negative selection marker gene is preferably a thymidine kinase gene (TK) or/and hypoxanthine-guanine-phosphoribosyl transferase gene (HGPRT).

The sequence flanked by recombinase target sequences can be cleaved out of the genome of the cell by transient activation of the corresponding recombinase e.g. by

- (a) transfecting the cell with a vector containing a nucleic acid sequence coding for a recombinase operatively linked with an expression control sequence that is active in this cell,
- (b) culturing the cell transfected in this manner under conditions under which the recombinase is expressed and is active and
- (c) optionally isolating the cell.

It is not only possible with the process according to the invention to inactivate a DHFR gene but also to cut out sequences of a DHFR gene which are located between the recombinase target gene sequences as well as the

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introduced selection marker gene from the genome of a cell by a recombinase-mediated reaction.

If the sequence flanked by recombinase target sequences contains a positive selection marker gene, the cell containing this sequence is antibiotic resistant. Hence it can be easily selected by methods known to a person skilled in the art.

A further advantage of the DHFR-negative cell produced by the process according to the invention is that its properties can be characterized by methods known to a person skilled in the art and the cells can be subsequently used for other processes. Moreover the recombinase target sequence introduced at the DHFR gene locus enables the site-specific integration of nucleic acid sequences into the genome.

A further preferred embodiment concerns a process for introducing a heterologous DHFR gene into a eukaryotic cell which is characterized in that a DHFR-negative cell obtained by one of the processes described above

- (a) is transfected with a third vector comprising
 - (i) optionally a positive selection marker gene which preferably differs from the positive selection marker gene of the first vector,
 - (ii) a nucleic acid sequence coding for a DHFR,
 - (iii) a nucleic acid sequence to be amplified coding for a protein in an expressible form in which each of the nucleic acid sequences from the partial sequences (i), (ii) and (iii) is flanked on the 5' side and 3' side by at least one recombinase target sequence
- (b) the transfected cell is cultured under conditions

under which the nucleic acid sequence flanked by recombinase target sequences is integrated into the recombinase target sequence that is already present in the genome of the cell and
(c) the cell obtained according to step (b) is isolated.

The positive selection marker gene, the DHFR gene and the target gene coding for the desired protein are preferably each operatively linked with an expression control sequence that is active or can be activated in the cell. A polycistronic construct with internal ribosomal binding sites is also in principle possible. The nucleic acid sequence to be amplified of the target gene should, however, be driven by a separate promoter. Particularly preferred expression control sequences are viral promoters/enhancers. A CMV promoter is most preferred for the expression of the protein.

It is advantageous to carry out the integration according to the invention of heterologous sequences into the genome of a cell in a site-specific manner and thus exclude interferences of the heterologous sequences with genomic sequences. Hence this avoids the resulting disadvantages as described further above such as unstable production clones.

In order to increase the expression rate of a heterologous nucleic acid sequence coding for a protein, it is possible to carry out an amplification step with methotrexate by known process steps.

A further subject matter of the present invention is a vector comprising

(i) optionally a positive selection marker gene,

- (ii) a nucleic acid sequence coding for a DHFR and
- (iii) a nucleic acid sequence in an expressible form coding for a desired protein in which each nucleic acid sequence from the partial sequences (i), (ii) and (iii) is flanked on the 5' side and 3' side by at least one recombinase target sequence.

Yet a further subject matter of the present invention is a vector for homologous recombination comprising

- (i) optionally a positive selection marker gene,
- (ii) at least one recombinase target sequence in each case which flanks the sequence (i) and
- (iii) DNA sequences flanking the sequences (i) and (ii) which are homologous to a DHFR nucleic acid sequence that is present endogenously in a cell in order to allow a homologous recombination and
- (iv) optionally a negative selection marker gene outside and preferably on the 3' side of the homologous sequences (iii).

In addition the invention concerns a eukaryotic cell, preferably a human cell, obtainable by one of the processes described above. This cell is characterized in that

- (a) at least one endogenous nucleic acid sequence coding for a DHFR is inactivated and preferably both endogenous alleles and
- (b) at least one recombinase target sequence is integrated into the genome in the region of this nucleic acid sequence coding for DHFR.

Finally, yet a further subject matter of the invention is a eukaryotic cell, preferably a human cell, which is characterized by a heterologous nucleic acid sequence in

the region of an endogenous DHFR gene locus comprising

- (i) a nucleic acid sequence coding for DHFR,
- (ii) a nucleic acid sequence coding for a desired protein and
- (iii) at least one recombinase target sequence.

The invention is illustrated by the following examples, Figures and the sequence protocol.

Figure legends

Figure 1

- (A) shows a vector for the homologous recombination which is used as the first vector. HR: homologous sequence, Seq 1: first heterologous expression control sequence, R1: positive selection marker gene, loxP : loxP sequence with orientation,
- (B) shows genomic sequences
 - (a) after completion of the homologous recombination,
 - (b) after excision of a sequence flanked by loxP sequences catalysed by a Cre recombinase,
- C) shows a vector for a Cre recombinase mediated integration which contains a sequence arranged between the loxP sequences
 - (c) shows genomic sequences after integration of a second vector at the loxP sequence, R2: positive selection marker gene which optionally differs from R1, Seq 2: second heterologous expression control sequence.

Figure 2

- (A) shows a vector for the homologous recombination HR: homologous sequence, R-box: positive and optionally negative selection marker gene, loxP : loxP sequence with orientation, HSV-tk: Herpes simplex thymidine kinase;
- (B) shows a vector for the homologous recombination with one-sided homologous sequence.

Figure 3

shows the CMV promoter/HIF-controlled erythropoietin (EPO) expression of HeLa S3 cells which were transfected with the vectors pHYG, pHIF-1 α and pARNT (pHIF-1 β) and whose EPO expression was measured in the cell supernatants 3, 4 and 5 days after the transfection. (erythropoietin concentration in $\mu\text{g/ml}$).

pHYG: control vector, pHIF-1 α : a HIF-1 α cDNA under the control of an SR α promoter, pARNT: a HIF- β cDNA under the control of a CMV promoter.

Figure 4

shows 4 different vectors which each contain a CMV promoter (C) and the reporter gene β -galactosidase (B) in which non-coding nucleic acid fragments of the target gene (S) of different lengths have been inserted between these sequences. The length of the non-coding nucleic acid fragments is 0kb in the vector A3-178, 2.5 kb in the vector A3-177, 3.7 kb in the vector A3-175 and 5.7 kb in the vector A3-181. The control vector pNASS β

contains the reporter gene β -galactosidase without a CMV promoter.

Figure 5

shows a measurement of the expression of the reporter gene β -galactosidase after transfection of HeLa S3 cells with the vectors of Figure 4 in a dilution series (1:2 to 1:128).

Figure 6

- (A) shows the vector pNDI for homologous recombination in a DHFR gene locus. A positive selection marker gene (Neo) is flanked by two loxP sequences. The sequences that are homologous to a DHFR gene (5', 3' DHFR region) are located on the 5' side of one of the loxP sequences and on the 3' side of the other loxP sequence.
- (B) shows the vector pHDI for homologous recombination in a DHFR gene locus. A positive selection marker gene (Hyg) is flanked by two loxP sequences. The sequences that are homologous to a DHFR gene (5', 3' DHFR region) are located on the 5' side of one of the loxP sequences and on the 3' side of the other loxP sequence.

Figure 7

- (A) shows the genomic construction of a DHFR gene with exon 1, exon 2 and exon 3 as well as the introns that are located between them,
- (B) shows a diagram of a target construct corresponding

- to the vector of Figure 6,
- (C) shows the genomic structure after completed homologous recombination of the vector for the homologous recombination in a DHFR gene. The distance between the EcoRI cleavage sites is 2.9 kb when using the vector pNDI and 3.7 kb when using the vector pHDI. Neo: neomycin, Hyg: hygromycin, kb: kilobases.

Figure 8

shows a vector which contains a nucleic acid sequence coding for a protein X and a nucleic acid sequence coding for a DHFR protein which each include regulatory sequences and are flanked by two loxP sequences. This vector can be used for Cre recombinase catalysed integration into the genome in a loxP sequence.

SEQ ID NO.1 shows a first HIF-binding nucleotide sequence,
SEQ ID NO.2 shows a second HIF-binding nucleotide sequence
SEQ ID NO.3 shows a loxP sequence

EXAMPLES

Example 1 Expression of an erythropoietin gene under the control of a CMV promoter and overexpression of HIF

The vectors pHYG, pHIF-1 α and pARNT (cf Figure 3) are transfected into genetically modified HeLa S3 cells. A CMV (cytomegalovirus) promoter which controls the EPO expression was introduced into the cells proximally to the erythropoietin gene (EPO) translation start of an

EPO allele. The cells usually produce 1 μ g erythropoietin per 24 hours per 10^7 cells. 24 hours before transfection they are passaged at a concentration of 6×10^4 cells per 6 well plate. On the day of transfection the cells are incubated with a DNA-DOTAP mixture. The mixture contains 1.25 μ g of the respective vector, 10 μ l DOTAP (Boehringer Mannheim 1202375) in a final volume of 75 μ l in 20 mM Hepes buffer per well. The mixture is pre-incubated for 10-15 minutes at room temperature. The cells are then incubated for 6 hours with the DNA-DOTAP in 3 ml medium per well. Subsequently the cells are washed twice with PBS buffer and cultured in complete medium for 5 days. On day 3, 4 and 5 100 μ l supernatant was removed each time and analysed with an erythropoietin ELISA. The assay is completed on day 5 and the cell count is determined. The amount of erythropoietin per well is calculated relative to the same cell count (cf. Figure 3).

The example shows that an induction of the erythropoietin gene by HIF is still possible although a heterologous expression control sequence (CMV promoter) has been introduced into the promoter region of an allele of the erythropoietin gene. The measured increase in the erythropoietin concentration indicates a synergistic effect of the hypoxia-induced factor or the hypoxia-induced factors on both alleles.

It therefore becomes evident that the expression of an endogenous nucleic acid sequence can be increased by introducing a heterologous expression control sequence. If an activator (HIF) is expressed in the cell for which binding nucleic acid sequences are present in the expression control sequence, then the expression of this gene can be further increased. If corresponding

sequences are not present in this gene locus, they can be specifically introduced into the genome by the process according to the invention by means of homologous recombination.

Example 2 Optimized arrangement of an expression control sequence for increasing the expression of an endogenous nucleic acid sequence

Sequences on the 5' side of an endogenous gene can stimulate the expression as well as have repressing properties. When a heterologous expression control sequence is introduced into the genome on the 5' side of a target gene, the expression level is influenced by the endogenous 5' sequence. In order to achieve an optimal expression of the target gene by means of a heterologous expression control sequence, this must be arranged such that the activity of the heterologous expression control sequence is not reduced by non-coding sequences on the 5' side of the target gene. A specific arrangement would be advantageous in order to achieve synergistic effects of the individual sequence elements. In order to test various arrangements of the heterologous expression control sequence i.e. in order to for example determine at which distance from the translation start of the coding sequence of the target gene the heterologous expression control sequence has to be integrated into the genome of the cell, different vectors with different 5' non-coding nucleic acid fragments of the target gene are tested (cf. Figure 4). The vectors described in Figure 4 are transfected into HeLa S3 cells and the expression of the reporter gene β -galactosidase is measured (cf Figure 5).

24 hours before the assay the cells are passaged at a concentration of 1×10^6 cells per 10 centimetres petri dish. On the day of transfection the cells are incubated with a DNA-DOTAP mixture. The mixture contains 1 pmol of the respective vector (A3-178, A3-177, A3-175, A3-181 or pNASS β , cf. Figure 4) in 60 μ l DOTAP (Boehringer Mannheim 1202375) made up to 300 μ l with a 20 mM HEPES buffer solution. The mixture is incubated for 10-15 minutes at room temperature. The cells are pre-incubated for 6 hours with DNA-DOTAP in 6 ml serum-free medium per petri dish. Afterwards the cells are washed twice with PBS buffer and cultured in complete medium for 22 hours. In order to measure the β -galactosidase expression, the cells are isolated in 200 μ l PBS and lysed by freezing at -20°C and thawing. 10 μ l of the lysate is diluted 1:10 with substrate (3.29 mM chlorophenol red- β -D galactopyranoside (Boehringer Mannheim 884308), 100 mM HEPES, 150 mM NaCl, 2 mM MgCl_2 , 1 % BSA, 0.1 % Triton-X 100, 1 % sodium azide, pH 7. The samples are diluted in 1:2 steps and incubated at 37°C in a 96 well plate until a dark-red colour has formed. The samples are then measured at 570/580 nm, or 550 nm.

As shown in Figure 5 the expression of the reporter gene is highest in cells that have been transfected with the vector A3-178. In this vector the heterologous expression control sequence is proximal to the translation start of the coding sequence.

Hence this method can be used to simply and rapidly determine which arrangement of a heterologous expression control sequence in the genome of a host cell has to be selected in order to achieve an optimal expression of an endogenous target gene.

Example 3 Production of DHFR-negative cells

In a first step vectors for recombination according to the invention are prepared. These vectors are transfected into human cell lines in a second step and screened for homologous recombination events. In this manner firstly one and then the second allele for the DHFR gene can be inactivated.

DHFR vector for homologous recombination

The human DHFR gene is located on chromosome 5 and comprises 30 kb which are arranged in 6 exons. A 1.8 kb EcoRI fragment which contains parts of the promoter, parts of exon 2 and the complete exon 1 is used to prepare the vector for homologous recombination. Exon 1 is removed by an AapI digestion and the Neo (1.4 kb) or Hyg (2.2 kb) resistance gene is inserted into the resulting gap (0.45 kb) via linkers. These linkers contain the minimal sequence TAT TG AAG CAT ATT ACA TAC GAT ATG CTT CAA TA (loxP sequence) in addition to the adaptor nucleotides. The linker sequences are arranged in the same orientation and the resistance gene is preferably antisense relative to the DHFR gene. After the resistance gene has been inserted, the homology region is enlarged. For this the vector is extended by the EcoRI fragments from the 3' region (6.0 kb) (Fig. 6). In this manner one obtains the target constructs pNDI (11.5 kb) and pHDI (12.3 kb) according to the invention.

After completed homologous recombination the complete exon 1 (amino acids 1-28) and parts from the promoter of the DHFR gene have been removed. The cell can now no

longer express a functional DHFR protein.

Transfection of cells

The human cell lines used should not be polyploid for chromosome 5 and should not have been kept under MTX selection. In both cases more than 2 alleles would have had to been inactivated.

HeLa S3 cells (ATCC CCL-2.2)

The cells are cultured in tissue culture flasks in RPMI 1640 medium, 10 % foetal calf serum, 2 mM L-glutamine and 1 mM MEM (non-essential amino acids). The incubation is carried out at 37°C and 5 % CO₂. The electroporation buffer contains 20 mM Hepes, 138 mM NaCl, 5 mM KCl, 0.7 mM Na₂HPO₄, 6 mM D-glucose monohydrate, pH 7.0. 10 µg linearized vector DNA (pNDI) is electroporated (Biorad Gene Pulser) into 1 x 10⁷ cells at 960 µF and 250V. After electroporation the cells are taken up in medium containing 600 µg/ml G418 (geneticin Boehringer Mannheim) and cultured. After 10 days selection (medium changed every 2 days) the positive clones are isolated and expanded.

HT1080 cells (ATCC CCL-121)

The cells are cultured and selected as described for HeLa S3 cells using DMEM medium containing 10 % foetal calf serum, 2 mM L-glutamine and 1 mM sodium pyruvate.

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Namalwa cells (ATCC CRL-1432)

This cell line is a suspension cell line and must be cultured correspondingly. The medium corresponds to that described for Hela S3 cells. After transfection the cells are distributed among forty 96-well plates. Positive clones are expanded in 48- 24- 12- and 6-well plates. The selection is carried out with 1 mg/ml G418.

Detection of the DHFR-negative (+/-) cells

Insertion of the vector is detected by means of Southern blot analysis or by PCR. If homologous recombination has occurred correctly, a 2.9 kb band is detected after EcoR1 digestion which has been formed by the insertion of the Neo gene in addition to a 1.8 kb band which represents the intact DHFR gene (Figure 7c). Mixed clones (unequal ratio of the band intensities in the Southern blot) are separated by single cell deposition in a FACS, subcloned and subsequently expanded. One allele of the DHFR gene is inactivated in the clones that have been identified as positive.

Production of DHFR-negative (-/-) cells

Cell clones in which a DHFR allele (+/-) is inactivated can be subjected to a renewed homologous recombination. For this they are transfected as described above with 10 μ g linearized DNA of the vector pHDI. The selection is carried out in medium containing 500 μ g/ml hygromycin B (Boehringer Mannheim).

Increasing the G418 concentration in the medium

increases the selection pressure on DHFR⁺/⁻ cells and DHFR⁻/⁻ cells are obtained. A genetic conversion leads to an interchromosomal recombination which is why the second DHFR allele is inactivated.

The DHFR⁻/⁻ cells contain two inactivated DHFR alleles and can no longer synthesize tetrahydrofolate. Therefore thymidine, glycine and purine have to be added to the medium (supplementation). Optionally the cells are cultured in α^- medium (Gibco BRL).

The DHFR⁻/⁻ cells are detected as described above. In homozygous DHFR-negative cells no wild-type band (1.8 kb) is detectable. Cells that have been transfected with pDHI exhibit a new 3.7 kb band in EcoRI Southern blot after homologous recombination (Figure 7c).

Use of DHFR-negative cells (-/-)

The cells according to the invention can be used for the large-scale production of proteins. For this a vector according to the invention (according to Figure 8) and an expression vector coding for a Cre recombinase are transfected into the DHFR⁻/⁻ cells. The Cre recombinase removes the antibiotic resistance from the DHFR gene locus and integrates the vector according to the invention into the loxP sequence in the genome of the DHFR⁻/⁻ cell. The cells are again antibiotic sensitive and independent of a thymidine, glycine and purine supplementation.

The selection can be achieved by using a medium without supplementation or by adding a suitable antibiotic to the culture medium. In this case the antibiotic

corresponds to the resistance gene which has been removed by the Cre recombinase from the genome of the cell. If the vector integrated into the loxP sequence contains a positive selection marker gene, the selection can be carried out by adding this antibiotic to the medium.

Increasing the production output by gene amplification

In order to increase the production output of the cells for the recombinant protein, a methotrexate (MTX) selection is carried out which amplifies the DHFR gene introduced into the cell and the heterologous nucleic acid sequence coding for a protein.

In order to achieve an amplification the cells are cultured in the presence of increasing concentrations (100-1000 mM) MTX. The degree of amplification is monitored by densitometric evaluation of comparative Southern blot (before, during and after MTX addition).

The cells according to the invention obtained after the amplification step contain many copies of the introduced DHFR gene and of the inserted heterologous nucleic acid sequence at the loxP locus. They are characterized by a high production output of the heterologous nucleic acid.

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Preferred embodiments of the invention are shown in the following as part of the description:

1. Process for changing the expression of a nucleic acid sequence which is present endogenously in a eukaryotic cell,

wherein

- (a) the cell is transfected with a first vector comprising
 - (i) at least one sequence selected from a first heterologous expression control sequence and a first amplification gene,
 - (ii) a positive selection marker gene,
 - (iii) at least two target sequences for a site-specific recombinase flanking the sequences (i) and (ii),
 - (iv) DNA sequences which flank the sequences (i), (ii) and (iii) and are homologous to a nucleic acid section in the genome of the cell in order to allow a homologous recombination
- (b) the transfected cell is cultured under conditions under which a homologous recombination of the vector takes place and
- (c) the cell obtained according to step (b) is isolated.

2. Process as claimed in item 1,

wherein

loxP sequences are used as recombinase target sequences.

3. Process as claimed in item 1 or 2,
wherein
the cell is a human cell.
4. Process as claimed in one of the previous items,
wherein
the cell is an immortalized cell.
5. Process as claimed in item 4,
wherein
the cell is a HT1080, Namalwa or HeLa S3 cell.
6. Process as claimed in one of the previous items,
wherein
the heterologous expression control sequence
contains a promoter/enhancer preferably a viral
promoter and particularly preferably a CMV
promoter.
7. Process as claimed in one of the items 1 to 6,
wherein
the heterologous expression control sequence
contains a 3' non-coding sequence.
8. Process as claimed in one of the previous items,
wherein
the homologous sequences are selected such that an
endogenous expression control sequence of the
nucleic acid sequence that is present endogenously
is removed by homologous recombination.

9. Process as claimed in one of the previous items,
wherein
the positive selection marker gene is a neomycin,
kanamycin, geneticin or hygromycin resistance gene.
10. Process as claimed in one of the previous items,
wherein
the vector additionally contains a negative
selection marker gene which is arranged outside the
homologous sequences as claimed in claim 1(a) (iv).
11. Process as claimed in one of the previous items,
wherein
the nucleic acid sequence that is located between
the recombinase target sequences is cut out of the
genome of the cell by transient activation of a
site-specific recombinase that recognizes the
target sequences.
12. Process as claimed in item 1,
wherein
(a) the cell is transfected with a further vector
comprising
(i) at least one sequence selected from a second
heterologous expression control sequence and
a second amplification gene
(ii) a positive selection marker gene which
preferably differs from the positive
selection marker gene of the first vector
and
(iii) at least two recombinase target sequences
flanking the sequences (i) and (ii)
(b) the transfected cell is cultured under conditions
under which the sequence flanked by the target

sequences is integrated into the target sequence in the genome of the cell

- (c) the cell obtained according to step (b) is isolated and
- (d) optionally steps (a) to (c) are repeated at least once with expression control sequences or/and amplification genes which vary in each case.

- 13. Vector for homologous recombination comprising,
 - (i) at least one sequence selected from an expression control sequence and an amplification gene,
 - (ii) a positive selection marker gene,
 - (iii) at least two target sequences for a site-specific recombinase which flank the sequences (i) and (ii),
 - (iv) DNA sequences flanking the sequences (i), (ii) and (iii) which are homologous to a nucleic acid section in the genome of a cell in order to allow a homologous recombination and
 - (v) optionally a negative selection marker gene.
- 14. Vector comprising
 - (i) at least one sequence selected from a heterologous expression control sequence and an amplification gene,
 - (ii) a positive selection marker gene,
 - (iii) at least two recombinase target sequences which flank the sequences (i) and (ii),
 - (iv) optionally a negative selection marker gene.
- 15. Eukaryotic cell, preferably a human cell obtainable by a process as claimed in one of the items 1 to 12.

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16. Eukaryotic cell, preferably a human cell,
wherein
it
(a) contains at least one chromosomally located
sequence selected from a heterologous expression
control sequence and an amplification gene in
operative linkage with a nucleic acid sequence
that is present endogenously and
(b) this sequence is flanked by recombinase target
sequences.
17. Process for changing the expression of a nucleic acid
sequence that is present endogenously in a eukaryotic
cell,
wherein
(a) the cell is transfected with a vector comprising
(i) at least one nucleic acid sequence that
binds an activator protein,
(ii) a positive selection marker gene,
(iii) DNA sequences flanking the sequences (i) and
(ii) which are homologous to a nucleic acid
section in the genome of the cell in order
to allow a homologous recombination,
(b) the transfected cell is cultured under conditions
under which a homologous recombination of the
vector takes place and
(c) the cell obtained according to step (b) is
isolated.
18. Process as claimed in item 17,
wherein
at least one hypoxia-inducible-factor-(HIF)-binding
nucleic acid sequence is used.

19. Process as claimed in item 18,
wherein
the HIF-binding nucleic acid sequence is selected from the 53 bp sequence according to sequence ID NO.1, from the 43 bp sequence according to sequence ID NO.2, a sequence that is homologous to these sequences or a sequence which hybridizes with these sequences under stringent conditions.
20. Process as claimed in one of the items 17 to 19 additionally comprising transfecting the cell with a vector comprising
(i) a nucleic acid sequence coding for an activator protein which is operatively linked with an active expression control sequence in this cell and
(ii) optionally a positive selection marker gene.
21. Process as claimed in item 20,
wherein
the activator protein is a HIF-1 α or/and a HIF-1 β protein.
22. Process as claimed in one of the items 18 to 21,
wherein
the cells are cultured at an O₂ concentration of 0.1 to 2 %.
23. Vector for homologous recombination, comprising
(i) at least one nucleic acid sequence which binds an activator protein,
(ii) a positive selection marker gene,
(iii) DNA sequences flanking the sequences (i) and (ii) which are homologous to a nucleic acid

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section in the genome of the cell in order to allow a homologous recombination.

24. Eukaryotic cell, preferably a human cell obtainable by a process as claimed in one of the items 17 to 21.
25. Eukaryotic cell, preferably a human cell, wherein
it contains at least one heterologous, chromosomally localized, nucleic acid fragment that binds an activator protein/activator protein complex which is operatively linked with a gene that is present endogenously in the cell.
26. Process for testing the influence of non-coding nucleic acid sequences from the region of a target gene present endogenously in a eukaryotic cell on its expression which is characterized in that
- (a) the cell is transfected with a vector comprising
 - (i) a heterologous expression control sequence that is active or can be activated in the cell which is operatively linked with a reporter gene and
 - (ii) non-coding nucleic acid fragments on the 5' side or/and 3' side from the region of the target gene,
 - (b) the cell is cultured under conditions under which the expression control sequence is active and
 - (c) the expression of the reporter gene is measured.
27. Process as claimed in item 26,
wherein
the reporter gene codes for chloroamphenicol acetyl transferase (CAT), β -galactosidase (β -Gal) or lacZ.

28. Process as claimed in one of the items 26 or 27,
wherein
- (a) at least 2 vectors which contain 5' or/and 3' non-coding nucleic acid fragments of the target gene that are different from each other, are transfected into different cells in each case and
 - (b) the expression of the reporter gene is determined in the different cells.
29. Process for providing a DHFR-negative eukaryotic cell,
wherein
- (a) the cell is transfected with a first vector comprising
 - (i) at least one target sequence for a site-specific recombinase,
 - (ii) DNA sequences flanking sequence (i) which are homologous to a DHFR nucleic acid sequence that is present endogenously in the cell in order to allow a homologous recombination and
 - (iii) optionally a positive selection marker gene and optionally a negative selection marker gene,
 - (b) the transfected cell is cultured under conditions under which a homologous recombination of the vector takes place and
 - (c) the cell obtained according to step (b) is isolated.
30. Process as claimed in item 29,
wherein
loxP sequences are used as the recombinase target sequences.

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31. Process as claimed in one of the items 29 or 30,
wherein
the nucleic acid sequence coding for the positive
selection marker gene is a neomycin, kanamycin,
geneticin or hygromycin resistance gene.
32. Process as claimed in one of the items 29 to 31,
wherein
the nucleic acid sequence coding for the negative
selection marker gene is a thymidine kinase gene (TK)
or/and hypoxanthine-guanine-phosphoribosyltransferase
gene (HGPRT).
33. Process as claimed in one of the items 29 to 32,
wherein
the sequence that is flanked by the recombinase target
sequences is cut out of the genome of the cell by
transient activation of the corresponding recombinase.
34. Process for introducing a heterologous DHFR gene into
a eukaryotic cell,
wherein
a cell obtained by the process according to item 33
(a) is transfected with a third vector comprising
 (i) optionally a positive selection marker gene
 which preferably differs from the positive
 selection marker gene of the first vector,
 (ii) a nucleic acid sequence coding for a DHFR,
 (iii) a nucleic acid sequence to be amplified
 coding for a protein in which each nucleic
 acid sequences from the partial sequences
 (i), (ii) and (iii) is flanked on the 5'
 side and 3' side by at least one recombinase
 target sequence,

- (b) the transfected cell is cultured under conditions under which the nucleic acid sequence flanked by recombinase target sequences is integrated into the recombinase target sequence that is already present in the genome of the cell and
- (c) the cell obtained according to step (b) is isolated.

35. Vector, comprising

- (i) optionally a positive selection marker gene,
- (ii) a nucleic acid sequence coding for a DHFR and
- (iii) a nucleic acid sequence in an expressible form coding for a desired protein

in which each nucleic acid sequence from the partial sequences (i), (ii) and (iii) is flanked on the 5' side and 3' side by at least one recombinase target sequence.

36. Vector for homologous recombination comprising,

- (i) optionally a positive selection marker gene
- (ii) at least one recombinase target sequence in each case which flanks the sequence (i),
- (iii) DNA sequences flanking the sequences (i) and (ii) which are homologous to a DHFR nucleic acid sequence that is present endogenously in a cell in order to allow a homologous recombination and
- (iv) optionally a negative selection marker gene outside the homologous sequences (iii).

37. Eukaryotic cell, preferably a human cell obtainable by a process according to one of the items 29 to 34.

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38. Eukaryotic cell, preferably a human cell,
wherein
- (a) at least one endogenous nucleic acid sequence coding for a DHFR is inactivated and
 - (b) at least one recombinase target sequence is integrated into the genome in the region of this nucleic acid sequence coding for DHFR.
39. Eukaryotic cell, preferably a human cell,
characterized by
- a heterologous nucleic acid sequence in the region of an endogenous DHFR gene locus, comprising
- (i) a nucleic acid sequence coding for DHFR,
 - (ii) a nucleic acid sequence coding for a desired protein and
 - (iii) at least one recombinase target sequence.

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1.1	1.2	1.3	1.4	1.5	1.6	1.7	1.8	1.9	1.10	1.11	1.12	1.13	1.14	1.15	1.16	1.17	1.18	1.19	1.20	1.21	1.22	1.23	1.24	1.25	1.26	1.27	1.28	1.29	1.30	1.31	1.32	1.33	1.34	1.35	1.36	1.37	1.38	1.39	1.40	1.41	1.42	1.43	1.44	1.45	1.46	1.47	1.48	1.49	1.50	1.51	1.52	1.53	1.54	1.55	1.56	1.57	1.58	1.59	1.60	1.61	1.62	1.63	1.64	1.65	1.66	1.67	1.68	1.69	1.70	1.71	1.72	1.73	1.74	1.75	1.76	1.77	1.78	1.79	1.80	1.81	1.82	1.83	1.84	1.85	1.86	1.87	1.88	1.89	1.90	1.91	1.92	1.93	1.94	1.95	1.96	1.97	1.98	1.99	2.00
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- [illegible]

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Claims

1. Process for changing the expression of a nucleic acid sequence which is present endogenously in a eukaryotic cell,
wherein
 - (a) the cell is transfected with a first vector comprising
 - (i) at least one sequence selected from a first heterologous expression control sequence and a first amplification gene,
 - (ii) a positive selection marker gene,
 - (iii) at least two target sequences for a site-specific recombinase flanking the sequences (i) and (ii),
 - (iv) DNA sequences which flank the sequences (i), (ii) and (iii) and are homologous to a nucleic acid section in the genome of the cell in order to allow a homologous recombination
 - (b) the transfected cell is cultured under conditions under which a homologous recombination of the vector takes place and
 - (c) the cell obtained according to step (b) is isolated.
2. Process as claimed in claim 1,
wherein
loxP sequences are used as recombinase target sequences.

3. Process as claimed in one of the previous claims,
wherein
the vector additionally contains a negative
selection marker gene which is arranged outside the
homologous sequences according to claim 1(a) (iv).
4. Process as claimed in one of the previous claims,
wherein
the nucleic acid sequence that is located between
the recombinase target sequences is cut out of the
genome of the cell by transient activation of a
site-specific recombinase that recognizes the
target sequences.
5. Vector for homologous recombination comprising,
(i) at least one sequence selected from an
expression control sequence and an amplification
gene,
(ii) a positive selection marker gene,
(iii) at least two target sequences for a site-
specific recombinase which flank the sequences
(i) and (ii),
(iv) DNA sequences flanking the sequences (i), (ii)
and (iii) which are homologous to a nucleic acid
section in the genome of a cell in order to
allow a homologous recombination and
(v) optionally a negative selection marker gene.
6. Vector comprising
(i) at least one sequence selected from a
heterologous expression control sequence and an
amplification gene,
(ii) a positive selection marker gene,
(iii) at least two recombinase target sequences which

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flank the sequences (i) and (ii),
(iv) optionally a negative selection marker gene.

7. Eukaryotic cell, preferably a human cell,

wherein

it

- (a) contains at least one chromosomally located sequence selected from a heterologous expression control sequence and an amplification gene in operative linkage with a nucleic acid sequence that is present endogenously and
- (b) this sequence is flanked by recombinase target sequences.

8. Process for changing the expression of a nucleic acid sequence that is present endogenously in a eukaryotic cell,

wherein

- (a) the cell is transfected with a vector comprising
 - (i) at least one nucleic acid sequence that binds an activator protein,
 - (ii) a positive selection marker gene,
 - (iii) DNA sequences flanking the sequences (i) and (ii) which are homologous to a nucleic acid section in the genome of the cell in order to allow a homologous recombination,
- (b) the transfected cell is cultured under conditions under which a homologous recombination of the vector takes place and
- (c) the cell obtained according to step (b) is isolated.

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9. Process as claimed in claim 8,
wherein
at least one hypoxia-inducible factor (HIF)-binding
nucleic acid sequence is used.
10. Vector for homologous recombination, comprising
(i) at least one nucleic acid sequence which binds
an activator protein,
(ii) a positive selection marker gene,
(iii) DNA sequences flanking the sequences (i) and
(ii) which are homologous to a nucleic acid
section in the genome of the cell in order to
allow a homologous recombination.
11. Eukaryotic cell, preferably a human cell obtainable by
a process as claimed in one of the claims 8 to 10.
12. Eukaryotic cell, preferably a human cell,
wherein
it contains at least one heterologous, chromosomally
located, nucleic acid fragment that binds an activator
protein/activator protein complex which is operatively
linked with a gene that is present endogenously in the
cell.
13. Process for testing the influence of non-coding
nucleic acid sequences from the region of a target
gene present endogenously in a eukaryotic cell on its
expression which is characterized in that
(a) the cell is transfected with a vector comprising
(i) a heterologous expression control sequence
that is active or can be activated in the
cell which is operatively linked with a
reporter gene and

- (ii) non-coding nucleic acid fragments on the 5' side or/and 3' side from the region of the target gene,
 - (b) the cell is cultured under conditions under which the expression control sequence is active and
 - (c) the expression of the reporter gene is measured.
14. Process for providing a DHFR-negative eukaryotic cell, **wherein**
- (a) the cell is transfected with a first vector comprising
 - (i) at least one target sequence for a site-specific recombinase,
 - (ii) DNA sequences flanking sequence (i) which are homologous to a DHFR nucleic acid sequence that is present endogenously in the cell in order to allow a homologous recombination and
 - (iii) optionally a positive selection marker gene and optionally a negative selection marker gene,
 - (b) the transfected cell is cultured under conditions under which a homologous recombination of the vector takes place and
 - (c) the cell obtained according to step (b) is isolated.
15. Process for introducing a heterologous DHFR gene into a eukaryotic cell, **wherein**
- a cell obtained by the process as claimed in claim 14
- (a) is transfected with a third vector comprising
 - (i) optionally a positive selection marker gene which preferably differs from the positive selection marker gene of the first vector,

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- (ii) a nucleic acid sequence coding for a DHFR,
 - (iii) a nucleic acid sequence to be amplified coding for a protein in an expressible form in which each of the nucleic acid sequences from the partial sequences (i), (ii) and (iii) is flanked on the 5' side and 3' side by at least one recombinase target sequence
- (b) the transfected cell is cultured under conditions under which the nucleic acid sequence flanked by recombinase target sequences is integrated into the recombinase target sequence that is already present in the genome of the cell and
- (c) the cell obtained according to step (b) is isolated.

16. Vector, comprising

- (i) optionally a positive selection marker gene,
 - (ii) a nucleic acid sequence coding for a DHFR and
 - (iii) a nucleic acid sequence in an expressible form coding for a desired protein
- in which each nucleic acid sequence from the partial sequences (i), (ii) and (iii) is flanked on the 5' side and 3' side by at least one recombinase target sequence.

17. Vector for the homologous recombination comprising,

- (i) optionally a positive selection marker gene,
- (ii) at least one recombinase target sequence in each case which flanks the sequence (i),
- (iii) DNA sequences flanking the sequences (i) and (ii) which are homologous to a DHFR nucleic acid sequence that is present endogenously in a cell in order to allow a homologous recombination and
- (iv) optionally a negative selection marker gene outside the homologous sequences (iii).

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Abstract

The invention concerns a process for optimizing the gene expression in cells. A first aspect concerns a process for changing the expression of a nucleic acid sequence which is present endogenously in a eukaryotic cell by introduction of a heterologous expression control sequence into the genome of the cell by means of homologous recombination as well as site-specific recombinase-mediated excision of inserted foreign DNA and its replacement by further heterologous expression control sequences or/and amplification genes. In addition the invention concerns the introduction of one or several nucleic acid sequences to which an activator protein or an activator protein complex binds e.g. a hypoxia-inducible factor (HIF), into the genome of a eukaryotic cell by homologous recombination in order to change the expression of a target gene. Furthermore the invention concerns a process for testing the influence of 5' or 3' non-coding nucleic acid fragments on the expression of a target gene by determining the expression of a reporter gene. In addition the invention concerns a process for providing a DHFR-negative eukaryotic cell containing a recombinase target sequence as well as the expression of a nucleic acid sequence inserted into the recombinase target sequence.

Fig. 1

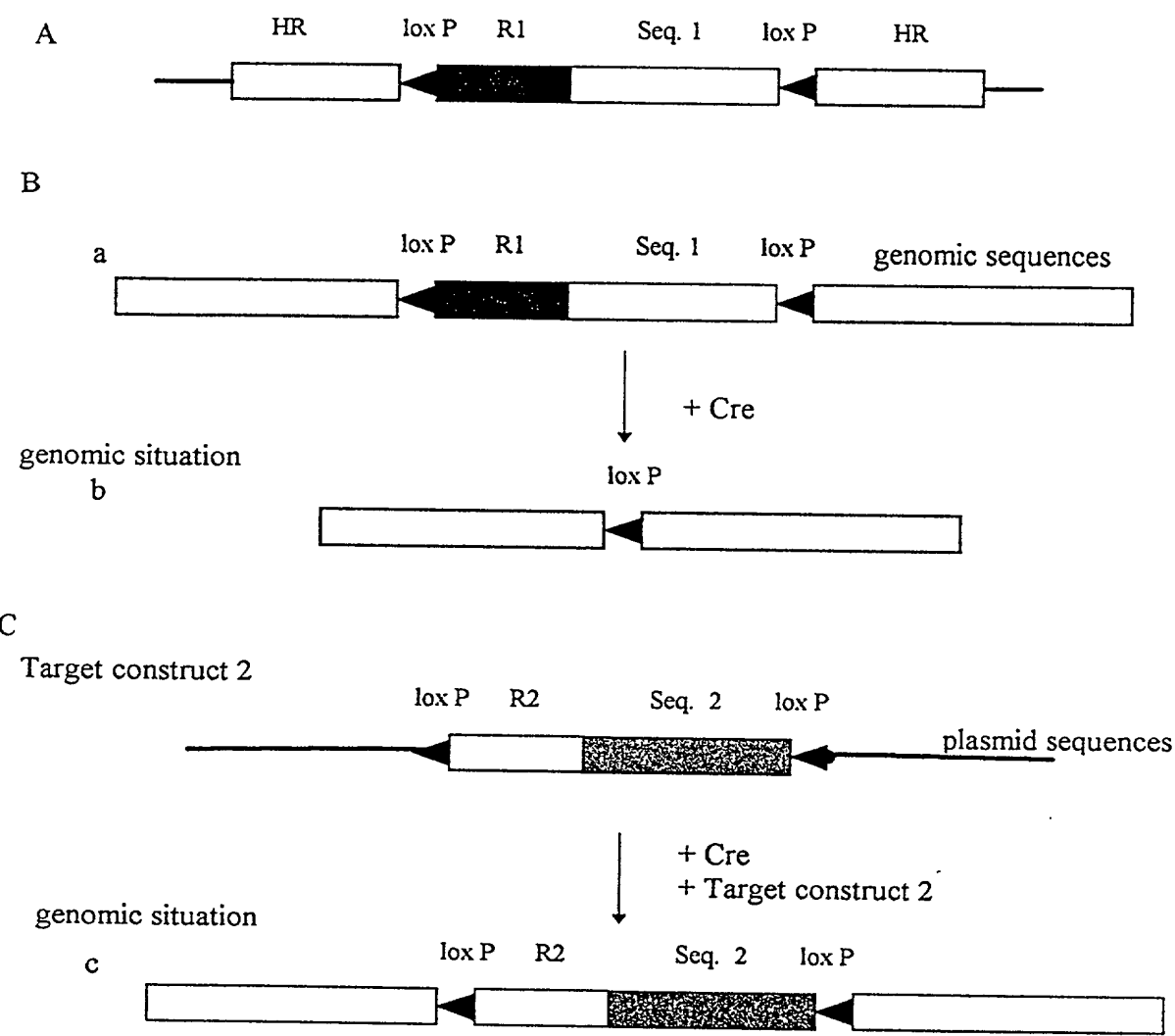
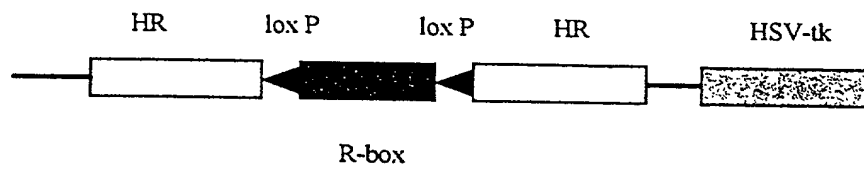


Fig. 2:

A.



B.

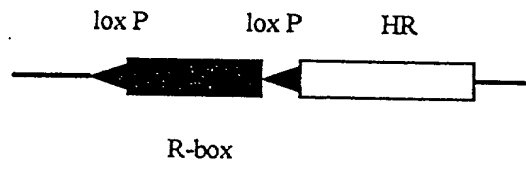


Fig. 3

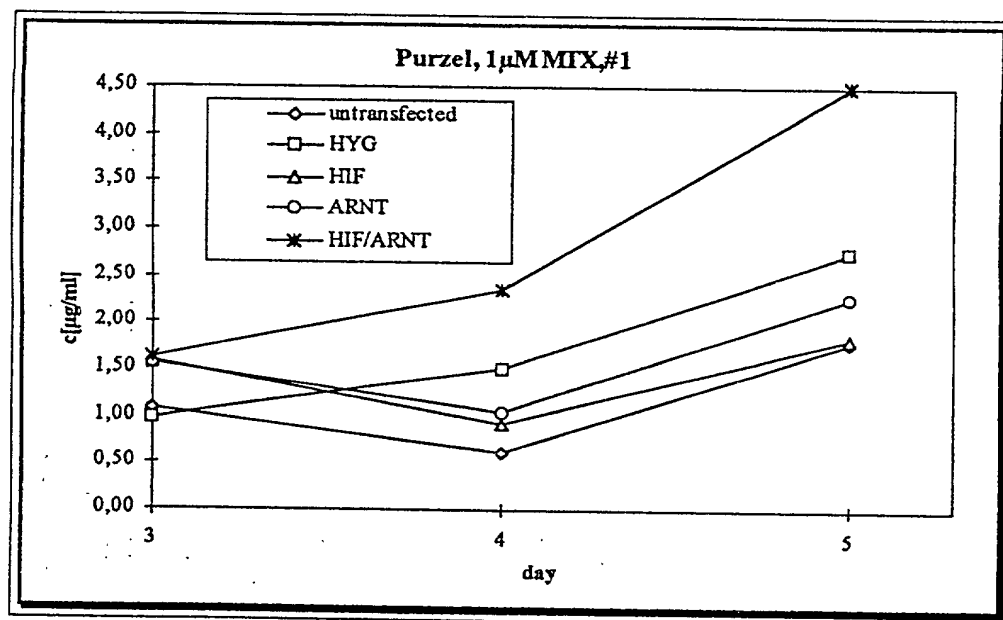


Fig. 4

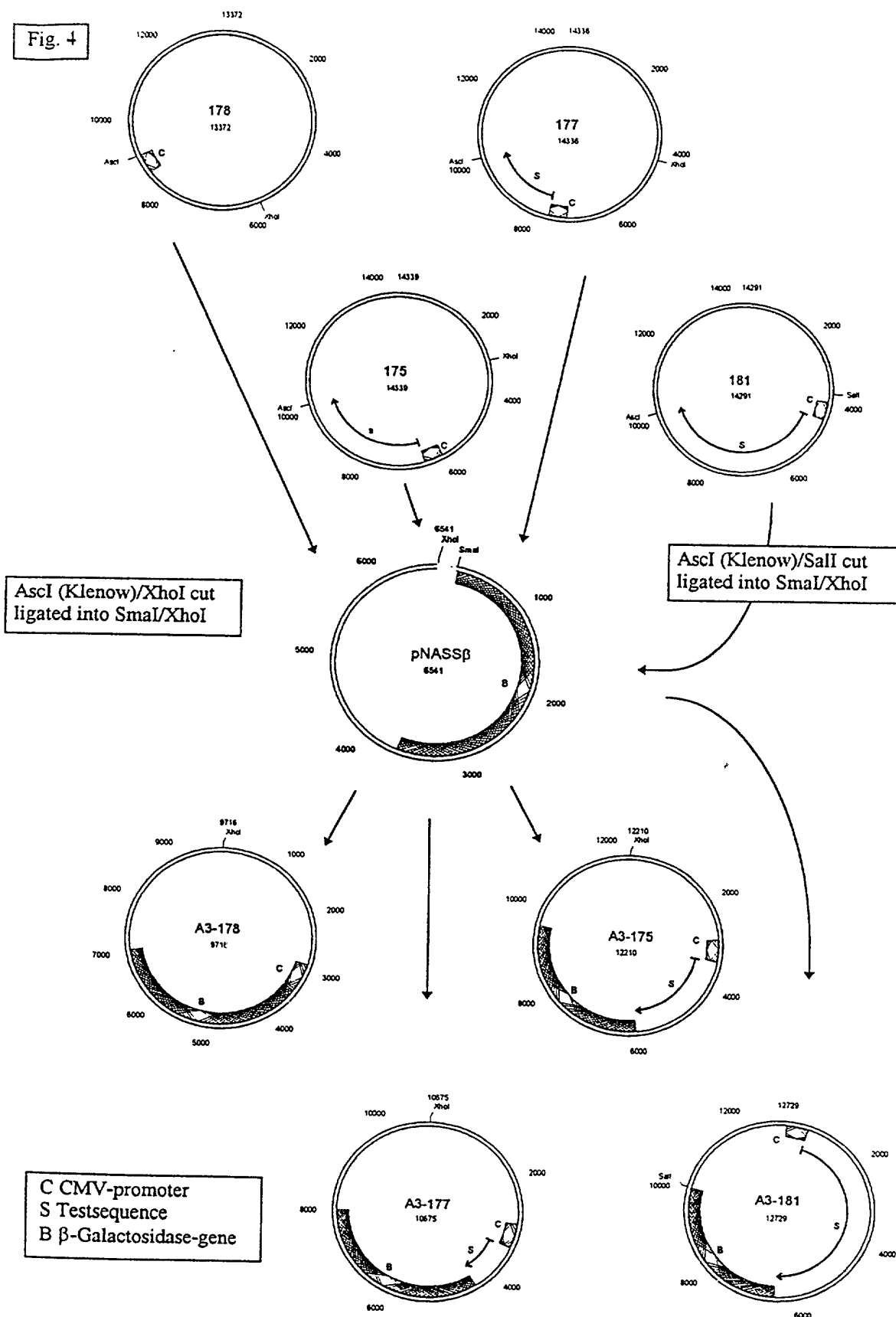


Fig. 5:

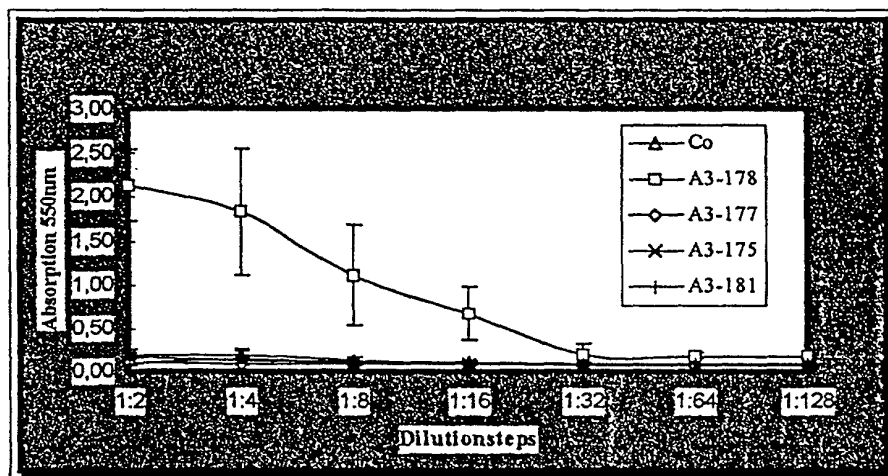
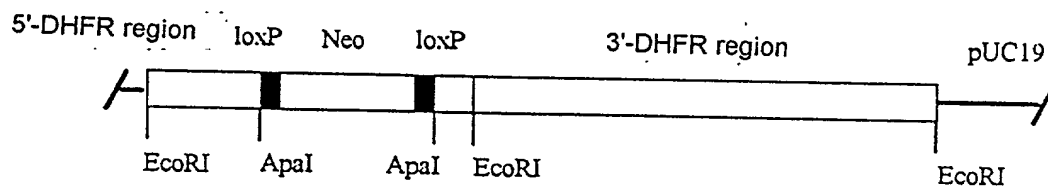


Fig. 6

A. pNDI (11.5kb)



B. pHD1 (12.3kb)

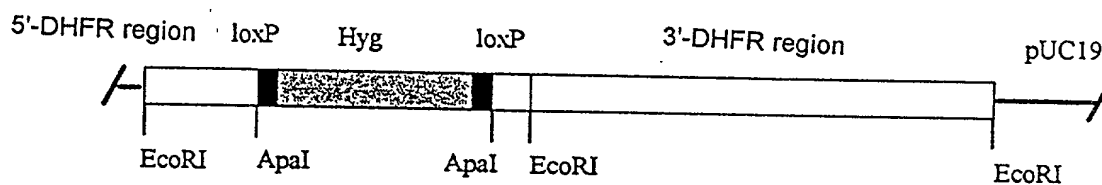
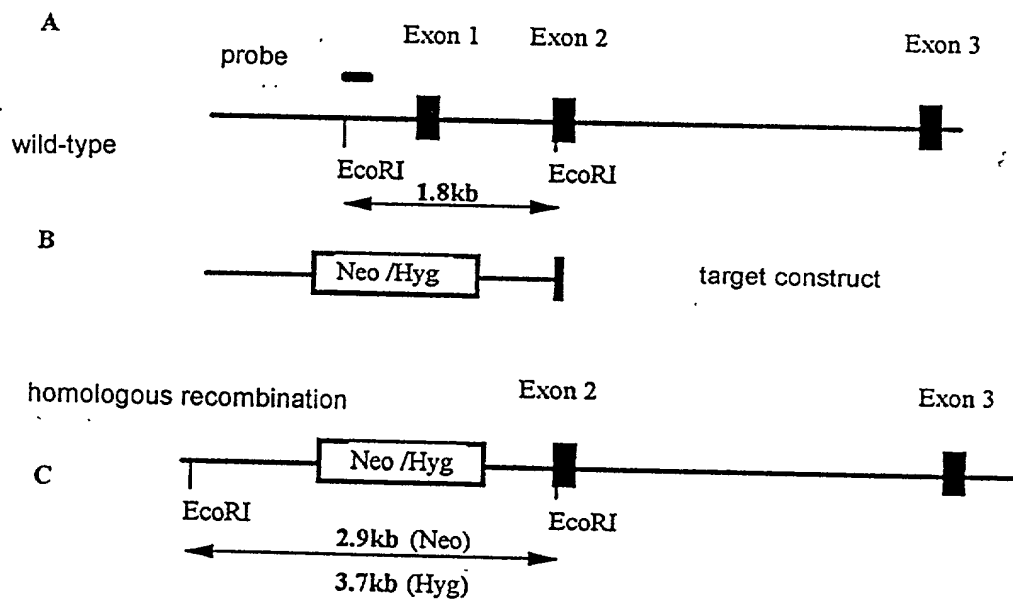
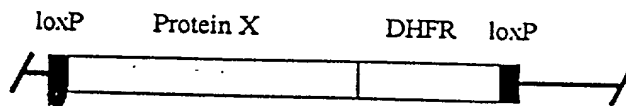


Fig. 7



Neo, Neomycin; Hyg, hygromycin, kb, kilobases

Fig. 8



Declaration For U.S. Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled
(Insert Title) Optimization of cells for endogenous gene activation

the specification of which

- (Check one of blocks 1, 2 or 3. See note A on back of this page)
1. ☒ is attached hereto.
 2. ☐ was filed on _____ as International PCT Application Serial No. _____ and was amended on _____ (if applicable)
 3. ☐ was filed on _____ as U.S. Application Serial No. _____ and was amended on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application for which priority is claimed:

(List prior foreign applications. See note B on back of this page)	<u>97 121 075.2</u>	<u>EP</u>	<u>1 December 1997</u>	Priority Claimed <input type="checkbox"/> Yes <input type="checkbox"/> No
	(Number)	(Country)	(Day/Month/Year Filed)	
	<u> </u>	<u> </u>	<u> </u>	<input type="checkbox"/> Yes <input type="checkbox"/> No
	(Number)	(Country)	(Day/Month/Year Filed)	
	<u> </u>	<u> </u>	<u> </u>	<input type="checkbox"/> Yes <input type="checkbox"/> No
	(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No

(See Note C on back of this page)

☐ See attached list for additional prior foreign applications

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT International filing date of this application:

(List prior U.S. Applications or PCT International applications designating the U.S.)	<u> </u>	<u> </u>	<u> </u>
	(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
	<u> </u>	<u> </u>	<u> </u>
	(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

And I hereby appoint as principal attorneys David T. Nikaido, Reg. No. 22,663; Charles M. Marmelstein, Reg. No. 25,895; George E. Oram, Jr., Reg. No. 27,931; Robert B. Murray, Reg. No. 22,980; Martin S. Postman, Reg. No. 18,570; E. Marcie Emas, Reg. No. 32,131; Michael G. Gilman, Reg. No. 19,114; Douglas H. Goldhush, Reg. No. 33,125; Kevin C. Brown, Reg. No. 32,402; Monica Chin Kitts, Reg. No. 36,105; Sharon N. Klesner, Reg. No. 36,335, and John R. Fuisz, Reg. No. 37,327.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(See Note D on back of this page)

Full name of sole or first inventor Konrad HONOLD

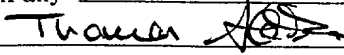
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Inventor's signature Anne Stern 11-9-98
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Citizenship DE
Post Office Address same as above

Full name of fourth joint inventor, if any _____
Inventor's signature _____ Date
Residence _____
Citizenship _____
Post Office Address _____

Full name of fifth joint inventor, if any _____
Inventor's signature _____ Date
Residence _____
Citizenship _____
Post Office Address _____

Full name of sixth joint inventor, if any _____
Inventor's signature _____ Date
Residence _____
Citizenship _____
Post Office Address _____

Full name of seventh joint inventor, if any _____
Inventor's signature _____ Date
Residence _____
Citizenship _____
Post Office Address _____

Full name of eighth joint inventor, if any _____
Inventor's signature _____ Date
Residence _____
Citizenship _____
Post Office Address _____